

## FIXED POINTS OF POINTWISE ALMOST PERIODIC HOMEOMORPHISMS ON THE TWO-SPHERE

BY

W. K. MASON(1)

**ABSTRACT.** A homeomorphism  $f$  of the two-sphere  $S^2$  onto itself is defined to be pointwise almost periodic (p.a.p.) if the collection of orbit closures forms a decomposition of  $S^2$ . It is shown that if  $f: S^2 \rightarrow S^2$  is p.a.p. and orientation-reversing then the set of fixed points of  $f$  is either empty or a simple closed curve; if  $f: S^2 \rightarrow S^2$  is p.a.p. orientation-preserving and has a finite number of fixed points, then  $f$  is shown to have exactly two fixed points.

**1. Introduction.** Every periodic mapping  $f$  of the two-sphere  $S^2$  to itself is topologically equivalent either to the identity, to a rotation, a reflection, or to a rotation followed by a reflection ([5] and [9]). Thus, the set of fixed points of  $f$  is either empty or an  $i$ -sphere,  $0 \leq i \leq 2$ . If  $f$  satisfies the weaker condition of being almost periodic (equivalent to having equicontinuous iterates) or the still weaker condition of being weakly almost periodic (the collection of orbit closures forms a continuous decomposition of  $S^2$ ), the fixed point set is again either empty or an  $i$ -sphere,  $0 \leq i \leq 2$  ([11] and [12]).

In this paper we study the fixed point sets of pointwise almost periodic (p.a.p.) homeomorphisms on  $S^2$  (the collection of orbit closures forms a decomposition of  $S^2$ ). In the orientation-reversing case the set of fixed points must still be either empty or a 1-sphere (Theorem 6). In the orientation-preserving case, on the other hand, there may be a continuum of fixed points together with any finite or countable number of isolated fixed points (see §6). However, if there are only a finite number of fixed points in the orientation-preserving case, then there must be exactly two (Theorem 7).

The main theorems of this paper are contained in §§5 and 6. §3 gives a summary of the results in the theory of prime ends which we use to prove the main lemma in §4.

**2. Definitions and notation.** If  $A$  is a subset of a space  $X$ ,  $\text{Cl}(A)$  and  $\text{Bd}(A)$  denote, respectively, the closure and boundary of  $A$ .  $A$  is *nondegenerate* if  $A$  is not a single point. If  $f: X \rightarrow X$  is a map, then  $f|A$  denotes the restriction

---

Received by the editors October 9, 1973 and, in revised form, March 11, 1974.

AMS (MOS) subject classifications (1970). Primary 54H20, 55C20, 57A05;

Secondary 54H25.

*Key words and phrases.* Pointwise almost periodic transformation, recurrent point, prime ends, periodic transformation.

(1) Research partially supported by NSF GP-33943.

of  $f$  to  $A$ . Homeomorphisms will always be onto.

A *domain* is a connected open set. If  $U$  is a domain in  $S^2$  and  $M$  is a subset of a component  $R$  of  $S^2 - \text{Cl}(U)$ , then  $\text{Bd}(R)$  is the *outer boundary* of  $U$  with respect to  $M$ .

If  $f: X \rightarrow X$  is a homeomorphism and  $A \subset X$ , then  $\text{orbit}(A)$ , the *orbit* of  $A$ , is the union of the set of iterates  $f^n(A)$ ,  $n = 0, \pm 1, \pm 2, \dots$ , ( $f^0 = \text{Id}$ ). The set of *period two points* of  $f$  is the set  $\{x \in X: f^2(x) = x\}$ . Thus, the set of period two points includes the fixed points of  $f$ .

$f: X \rightarrow X$  is a *recurrent homeomorphism* if, given any  $x \in X$  and any neighborhood  $U$  of  $x$ , there is a positive integer  $n$  and a negative integer  $m$  such that  $\{f^n(x), f^m(x)\} \subset U$  [8, 10.18, p. 83].

$f: X \rightarrow X$  is a *pointwise almost periodic* (p.a.p.) homeomorphism if given any  $x \in X$  and any neighborhood  $U$  of  $x$ , there is a finite set  $K$  of integers such that the orbit of  $x$  is contained in the union of the sets  $f^n(U)$ ,  $n \in K$  [8, 4.02, p. 31]. If  $X$  is a locally compact  $T^2$  space, an equivalent definition is:  $f: X \rightarrow X$  is p.a.p. if the collection  $\{\text{Cl}(\text{orbit}(x)): x \in X\}$  forms a decomposition of  $X$  [8, 4.10, p. 32]. Note that if a homeomorphism is p.a.p. then it is recurrent.

If  $U$  is a domain in  $S^2$  with a nondegenerate boundary, then a *crosscut* of  $U$  is an open arc in  $U$  whose closure is an arc which intersects  $\text{Bd}(U)$  in two points. An *endcut* of  $U$  is a half-open arc in  $U$  whose closure is an arc which intersects  $\text{Bd}(U)$  in one point. If  $A$  is a crosscut or an endcut of  $U$ , then a *subendcut* of  $A$  is an endcut of  $U$  which is contained in  $A$ .

**3. Prime ends.** This section contains the results from the theory of prime ends which we use in the next two sections. See also [12, §3] or [15].

Let  $U$  be a simply connected domain in  $S^2$  with a nondegenerate boundary. A *C-transformation* of  $U$  onto the open unit disk  $D$  is a homeomorphism  $T: U \rightarrow D$  such that the image of any crosscut of  $U$  is a crosscut of  $D$ , and the endpoints of such images of crosscuts of  $U$  are dense in the unit circle. C-transformations always exist [15, Appendix 2].

A collection of crosscuts  $Q_1, Q_2, \dots$ , of the simply-connected domain  $U$  is a *chain* if (a) the arcs  $\text{Cl}(Q_1), \text{Cl}(Q_2), \dots$ , are pairwise disjoint; (b)  $Q_n$  separates  $Q_{n-1}$  from  $Q_{n+1}$  in  $U$ ; (c) there is a point on  $\text{Bd}(U)$  whose greatest distance from  $Q_n$  approaches 0 as  $n \rightarrow \infty$ . Corresponding to each  $Q_n$  there is a domain  $U_n$  of  $U - Q_n$  containing  $Q_{n+1}$ . Note  $U_1 \supset U_2 \supset \dots$ .

If  $\{Q_i\}$ ,  $\{R_i\}$  are chains of crosscuts and  $\{U_i\}$ ,  $\{H_i\}$  are their respective corresponding domains, then  $\{Q_i\}$ ,  $\{R_i\}$  are *equivalent chains* if for every  $n$  there is an  $m$  such that  $H_m \subset U_n$  and  $U_m \subset H_n$ . Equivalent chains are said to define the same *prime end*. Thus, a prime end of  $U$  is an equivalence class of chains of  $U$ .

If  $Q_1, Q_2, \dots$  is a chain of crosscuts in  $U$ , then their images  $T(Q_1), T(Q_2), \dots$  under the  $C$ -transformation  $T: U \rightarrow D$  is a chain in  $D$ . If  $\{Q_i\}, \{R_i\}$  are equivalent chains in  $U$ , then  $\{T(Q_i)\}, \{T(R_i)\}$  are equivalent chains in  $D$  and converge to the same point on the boundary of  $D$ . Thus,  $T$  sets up a 1-1 correspondence between prime ends of  $U$  and points on the unit circle.

If  $Q_1, Q_2, \dots$  is a chain defining the prime end  $E$  and  $U_1, U_2, \dots$  are the corresponding domains of the chain, then the *impression* of  $E$  is the set  $\bigcap_{i=1}^{\infty} \text{Cl}(U_i)$ . The impression of  $E$  is easily seen to be independent of which defining chain is used. Note that  $\text{Impression}(E) \subset \text{Bd}(U)$  and that distinct prime ends may have identical impressions.

Suppose  $U$  is a simply connected domain,  $T: U \rightarrow D$  is a  $C$ -transformation,  $E$  is a prime end of  $U$ , and  $e$  is the point on the unit circle corresponding to  $E$  under  $T$ . A half-open arc  $B$  in  $U$  defines the prime end  $E$  if  $T(B)$  is an endcut in  $D$  with  $e$  as a limit point. Among the half-open arcs defining the prime end  $E$  there is one  $A$  such that  $\text{Cl}(A) - A$  is minimal (contained in  $\text{Cl}(B) - B$  for every half-open arc  $B$  defining  $E$ ). This minimal set is the set of *principal points* of  $E$  (for an alternate definition of principal point see [12, §3]). Note that a prime end defined by an endcut has just one principal point.

Given a homeomorphism  $f: \text{Cl}(U) \rightarrow \text{Cl}(U)$ , with  $f(U) = U$ , and a  $C$ -transformation  $T: U \rightarrow D$ , it follows that  $TfT^{-1}: D \rightarrow D$  is a  $C$ -transformation which may be extended to a homeomorphism  $h$  of the closed unit disk onto itself [15, 4.10, p. 6; A1.7, p. 27]. If  $E$  is a prime end of  $U$ ,  $e$  is the point on the unit circle corresponding to  $E$  under  $T$ , and  $h(e) = e$ , then  $E$  is a *fixed prime end* of  $f$ . If  $G$  is another prime end of  $U$ ,  $p$  is the point on the unit circle corresponding to  $G$  under  $T$ , and  $p$  converges to  $e$  under positive iterates of  $h$ , then we say *the prime end  $G$  converges to the prime end  $E$*  under positive iterates of  $f$ . These last two definitions are independent of the choice of the  $C$ -transformation  $T$ .

The reader unfamiliar with prime ends might attempt to show as an exercise that if  $K$  is a pseudo-arc [1] and  $E$  is a prime end of  $S^2 - K$  then  $\text{Impression}(E) = K$ ; also, there exists a prime end  $E$  of  $S^2 - K$  such that every point of  $K$  is a principal point of  $E$ . Completing this exercise, however, is not necessary for understanding the rest of the paper.

**4. The Main lemma. Preliminary remark:** In the proof of Lemma 1 below, various crosscuts and endcuts are constructed in a domain  $U$ . Our diagrams, however, will always show the images in the open unit disk of these crosscuts and endcuts under a  $C$ -transformation. To avoid clumsy notation the crosscuts and endcuts will be denoted with the same letters as their  $C$ -images in the diagrams.

LEMMA 1. Suppose  $f: S^2 \rightarrow S^2$  is an orientation-preserving homeomorphism and  $U$  is an invariant, simply-connected domain with nondegenerate boundary. Suppose  $A$  and  $B$  are endcuts of  $U$  such that (1) the prime end  $E$  of  $U$  which is defined by  $A$  is fixed under  $f$ , and (2) the prime end  $F$  of  $U$  which is defined by  $B$  is distinct from  $E$  but converges to  $E$  under positive iterates of  $f$ . Then  $f$  is not recurrent on  $\text{Bd}(U)$ .

PROOF. Let  $b$  be the point  $\text{Cl}(B) \cap \text{Bd}(U)$ .

Case 1.  $b$  is not in the impression of the prime end  $E$ . Then let  $V$  be a neighborhood (in  $S^2$ ) of  $b$  whose closure misses  $\text{Impression}(E)$ . Let  $U_1 \supset U_2 \supset \dots$  be a sequence of subdomains of  $U$  such that  $\text{Impression}(E) = \bigcap_{i=1}^{\infty} \text{Cl}(U_i)$ . Then for some  $n$ ,  $U_n \cap V = \emptyset$ . If  $f$  were recurrent at  $b$ , then subendcuts of infinitely many positive iterates of  $B$  would be contained in  $V$ , and thus would miss  $U_n$ . Then the prime end  $F$  would not converge to the prime end  $E$ .

Case 2.  $f$  is periodic at  $b$ , with least period  $n$ . If  $f(b) = b$ ,  $n = 1$ , let  $Y_1$  be an open arc in  $U$  such that  $\text{Cl}(Y_1)$  is a simple closed curve and  $Y_1$  contains a subendcut of  $B$  and a subendcut of  $f(B)$ . If  $f^n(b) = b$ ,  $n > 1$ , let  $Y_1, \dots, Y_n$  be a set of pairwise disjoint crosscuts of  $U$  such that each  $Y_i$  contains a subendcut defining the same prime end as  $f^{i-1}(B)$  and a subendcut defining the same prime end as  $f^i(B)$ ,  $1 \leq i \leq n$ . Note that  $\text{Cl}(Y_n) \cap \text{Cl}(Y_1) = \{b\} = \{f^n(b)\}$ . In both cases,  $\text{Cl}(Y_1) \cup \dots \cup \text{Cl}(Y_n)$  forms a simple closed curve  $J$  such that  $J$  separates a subendcut of  $A$  from the closure of some endcut  $N$  of  $U$  (see Figure 1 for a sketch of the  $C$ -images of  $Y_1, \dots, Y_n, A, N$ ). Note that  $\text{Bd}(U) \cap \text{Cl}(N)$  cannot lie in  $\text{Impression}(E)$ .

Now, if  $J'$  is the arc in the unit circle bounded by the endpoints of the  $C$ -images of  $A$  and  $B$  and containing the endpoint of the  $C$ -image of  $f(B)$ , and if  $h$  is the (orientation-preserving) homeomorphism of the closed unit disk associated with  $f$  (see definition of convergent prime end, §3), then  $h(J') \subset J'$ . The endpoint of the  $C$ -image of  $N$  is in  $J'$  and thus converges to the fixed endpoint of  $J'$  under positive iterates of  $h$ .

But then the prime end determined by  $N$  converges to the prime end  $E$ , and  $\text{Bd}(U) \cap \text{Cl}(N)$  cannot lie in  $\text{Impression}(E)$ . Hence, by Case 1,  $\text{Bd}(U) \cap \text{Cl}(N)$  is not a recurrent point of  $f$ .

Case 3.  $b$  is in the impression of  $E$ , but is not a periodic point. We suppose  $f$  recurrent on  $\text{Bd}(U)$  and derive a contradiction.

Our plan is to construct a simple closed curve  $J$ , made up of crosscuts of  $U$  plus an arc  $Y$ , such that  $J$  separates the endcut  $A$  and some point of  $\text{Impression}(E)$ , (to construct  $J$  we may have to modify  $f$  on some subdisks of  $U$ ), then to obtain a certain subcontinuum  $L$  of  $\text{Impression}(E)$  such that  $L \cap Y \neq \emptyset$  but  $L$  misses one component of  $S^2 - J$ , and finally to obtain

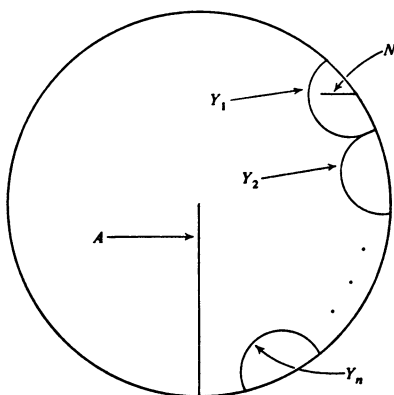


FIGURE 1

the contradiction that  $f(L) = L$  but  $f(L)$  must intersect both components of  $S^2 - J$ . We now proceed with this plan.

Since  $E$  is a fixed prime end we may assume  $f = \text{Id}$  on  $A$ . For, there is an open disk  $Z \subset U$  and a subendcut  $A'$  of  $A$  such that  $\text{Cl}(Z) \cap \text{Bd}(U) = \text{Cl}(A) \cap \text{Bd}(U)$ , and  $A' \cup f(A') \subset Z$ . Then replace  $A$  by  $A'$  and  $f$  by  $f$  followed by a homeomorphism which is the identity outside  $Z$  and which is equal to  $f^{-1}$  on  $f(A')$ .

Choose a crosscut  $Q$  of  $U - A$  such that (a)  $Q$  has one endpoint on  $A$  and the other on  $\text{Bd}(U)$ , (b) there is a positive integer  $n$  such that  $Q$  separates  $f^{-1}(B) \cup B \cup f(B)$  from some subendcut of  $f^n(B)$  in  $U - A$ , (c)  $\text{Cl}(Q)$  is disjoint from  $\text{Cl}(f^{-1}(B) \cup B \cup f(B))$  and from  $f^n(b)$ . The existence of  $Q$  follows from the fact that the prime end defined by  $B$  converges to the prime end defined by  $A$ .

Next, choose a crosscut  $X$  in  $U$  such that (d) the endpoints of  $X$  are  $b$  and  $f(b)$ , (e)  $X$  contains a subendcut defining the prime end,  $F$ , (f) the crosscuts  $f^{-1}(X), X, f(X), \dots, f^n(X)$  form a pairwise disjoint collection (here,  $n$  is the integer mentioned in (b) of the preceding paragraph), and (g)  $Q$  separates  $f^{-1}(X)$  from  $f^n(X)$  in  $U - A$ . See Figure 2 for a sketch of  $C$ -images.

The existence of  $X$  follows from the facts that  $f(b) \neq b$  and that  $F$  converges to  $E$ .

Next, choose an open (in  $S^2$ ) neighborhood  $O$  of  $b$  such that

$\text{Cl}(O)$  is a 2-cell;

$\text{Cl}(O) \cap f(\text{Cl}(O)) = \emptyset$ ;

$[A \cup \text{Cl}([Q])] \cap [\text{Cl}(O) \cup f(\text{Cl}(O))] = \emptyset$ ;

$\text{Cl}(O) \cap [\text{Cl}(X) \cup f^{-1}(\text{Cl}(X))]$  is an arc; and

$\text{Cl}(O) \cap f^i(X) = \emptyset$  for  $i = 1, 2, \dots, n$ .

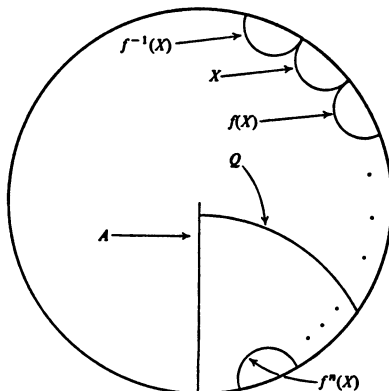


FIGURE 2

*Claim.* There is a homeomorphism  $k: S^2 \rightarrow S^2$  such that:

- (1)  $k = f$  on some neighborhood of  $\text{Bd}(U) \cup \text{Cl}(O) \cup A$ ,
- (2)  $k^i(X) = f^i(X)$  for  $i = -1, 0, 1, \dots, n$ , (3) for some integer  $m > n$ ,  $k^{-1}(X), X, k(X), \dots, k^m(X)$  is a pairwise disjoint collection,  $k^i(X) \cap \text{Cl}(O) = \emptyset$ ,  $n \leq i < m$ ,  $k^m(X) \cap \text{Cl}(O) \neq \emptyset$ , and  $Q$  separates  $k^{-1}(X)$  and  $k^i(X)$  in  $U - A$ ,  $n \leq i \leq m$ .

*Proof of claim.* We construct  $k$  by modifying  $f$  on various subdisks of  $U$ .

Suppose  $f^{n+1}(X) \cap Q \neq \emptyset$ . Let  $W$  be the component of  $U - (f^n(X) \cup f^{-1}(Q))$  such that  $\text{Bd}(W)$  contains two disjoint subendcuts of  $f^n(X)$ . Let  $N$  be a crosscut of  $W$  such that  $\text{Cl}(N) \cap \text{Bd}(U) = \emptyset$ , the endpoints of  $N$  separate  $f^n(b)$  and  $f^{n+1}(b)$  from  $f^{-1}(Q) \cap f^n(X)$  in  $\text{Cl}(f^n(X))$ , and  $N$  is contained in a small neighborhood of  $f^n(X) \cup f^{-1}(Q)$ . Since  $f^n(X) \cap \text{Cl}(O) = \emptyset$  and  $\text{Cl}(Q) \cap f(\text{Cl}(O)) = \emptyset$ , we may choose  $N$  so that  $\text{Cl}(N) \cap \text{Cl}(O) = \emptyset$ , (see Figure 3 for  $C$ -images).

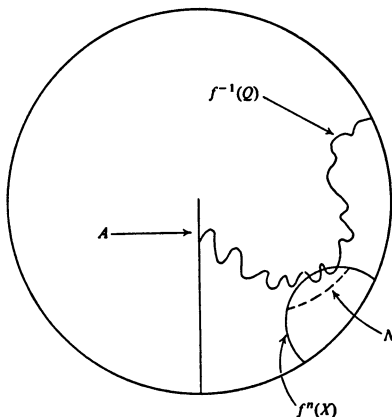


FIGURE 3

Let  $Z \subset U$  be the disk bounded by  $N$  and the subarc of  $f^n(X)$  cut off by the endpoints of  $N$ . Then  $Z$  misses  $\text{Cl}(O)$  since  $\text{Bd}(Z)$  misses  $\text{Cl}(O)$ . Let  $Z_1$  be a small neighborhood of  $Z$  such that  $\text{Cl}(Z_1)$  is a subdisk of  $U$ ,  $\text{Cl}(Z_1) \cap \text{Cl}(O) = \emptyset$ ,  $\text{Cl}(Z_1) \cap A = \emptyset$ , and  $Z_1 \cap f^i(X) = \emptyset$ ,  $i = -1, 0, 1, \dots, n-1$ . Let  $g: S^2 \rightarrow S^2$  be a homeomorphism such that  $g = \text{Id}$  outside  $Z_1$ ,  $g = \text{Id}$  on  $f^n(X) - Z$ , and  $g(\text{Bd}(Z) - N) = \text{Cl}(N)$ . Finally, let  $h = fg$ .

Note that (1)  $h = f$  on some neighborhood of  $\text{Bd}(U) \cup \text{Cl}(O) \cup A$ , (2)  $h^{n+1}(X) \cap Q = \emptyset$ , and (3)  $\{h^{-1}(X), X, h(X), \dots, h^{n+1}(X)\} = \{f^{-1}(X), X, f(X), \dots, f^n(X)\} \cup \{h^{n+1}(X)\}$  is a pairwise disjoint collection. (For,  $h^{n+1}(X)$  can intersect the preceding images of  $X$  only in  $f^{-1}(X)$ ; otherwise, the preceding images would not be disjoint. But  $Q$  separates  $h^{n+1}(X)$  and  $f^{-1}(X)$ .)

If  $\text{Cl}(h^{n+1}(X)) \cap \text{Cl}(O) \neq \emptyset$ , then  $h$  is the homeomorphism we seek. If  $\text{Cl}(h^{n+1}(X)) \cap \text{Cl}(O) = \emptyset$ , we repeat the above process, modifying  $h$  to add another image of  $X$  to our collection. This process must finally terminate, however, because we are assuming that  $f$  is recurrent at  $b$ , and  $b \in O$ , so eventually some image of  $X$  will intersect  $\text{Cl}(O)$ . This completes the proof of our claim.

To simplify notation let us assume that  $f$  requires no modification, that  $f^{n+1}(X) \cap Q = \emptyset$  and  $\text{Cl}(f^{n+1}(X)) \cap \text{Cl}(O) \neq \emptyset$ .

Let  $P$  be the arc  $[\text{Cl}(X) \cup f^{-1}(\text{Cl}(X))] \cap \text{Cl}(O)$ . We may assume that  $O$  was chosen small enough so that one of the components  $V$  of  $\text{Cl}(O) - P$  is contained in  $U - (A \cup Q)$ . Then we must have  $\text{Cl}(f^{n+1}(X)) \cap \text{Cl}(O)$  contained in  $\text{Cl}(O) - V$ . Let  $Y$  be an arc in  $\text{Cl}(O) - V$  from  $b (= \text{Cl}(X) \cap f^{-1}(\text{Cl}(X)))$  to a point of  $\text{Cl}(f^{n+1}(X))$  such that, except for its endpoints,  $Y$  misses  $\text{Cl}(f^{-1}(X) \cup X \cup f(X) \cup \dots \cup f^{n+1}(X))$ . Then from the set  $Y \cup \text{Cl}(X \cup f(X) \cup \dots \cup f^{n+1}(X))$  we may form a simple closed curve  $J$ .

Note that  $f(Y - \{b\})$  does not intersect  $J$ , because  $Y \subset \text{Cl}(O)$  and  $f(\text{Cl}(O)) \cap \text{Cl}(O) = \emptyset$  (this is the reason for never modifying  $f$  on  $\text{Cl}(O)$ ).

Also, note that  $\text{Cl}(A)$  and  $f(Y - \{b\})$  are in different components of  $S^2 - J$ . For, we may obtain an arc  $C$  from  $A$  to  $f(b)$ , which does not intersect  $J - \{f(b)\}$ , by starting at  $A$  and traveling along close to  $Q$  and then close to  $f^{n-1}(X) \cup f^{n-2}(X) \cup \dots \cup f(X)$  until we hit  $f(V)$ , and then traveling through  $f(V)$  up to  $f(b)$ . Then  $C \cup f(Y)$  is an arc which intersects  $J$  in the piercing point  $f(b)$ , and thus its endpoints must lie in different components of  $S^2 - J$ .

Let  $H$  be the component of  $S^2 - J$  containing the endcut  $A$ . We want to construct a subcontinuum  $L$  of  $\text{Bd}(U)$  such that  $L \subset \text{Cl}(H)$ ,  $L \cap Y \neq \emptyset$ , and  $f(L) = L$ . This will yield a contradiction.

Choose a chain  $R_1, R_2, \dots$  of crosscuts of  $U$  defining  $E$ , with corresponding domains  $U_1 \supset U_2 \supset \dots$ , such that

$$U_1 \cap (f^{-1}(X) \cup X \cup \dots \cup f^{n+1}(X)) = \emptyset \text{ and } U_1 \cap f(V) = \emptyset.$$

Note that  $f(b) \in \text{Cl}(U_i)$ ,  $i = 1, 2, \dots$ , since  $b \in \text{Impression}(E)$ , and  $E$  is a fixed prime end. Let  $A_1$  be an arc in  $U_1$  from  $A$  to a point very close to  $f(b)$ . Since  $U_1 \cap f(V) = \emptyset$ , we must have  $A_1 \cap J \neq \emptyset$ , hence  $A_1 \cap Y \neq \emptyset$ . Let  $B_1$  be the subarc of  $A_1$  from  $A \cap A_1$  to the first point at which  $A_1$  intersects  $Y$ . Then  $B_1 \subset \text{Cl}(H)$ .

Repeating this procedure, we may construct a sequence  $B_1, B_2, \dots$  of disjoint arcs of  $U$  such that:

- (1)  $B_i$  intersects  $A$  and  $Y$ ,
- (2)  $B_i \subset \text{Cl}(H)$ ,
- (3)  $B_i \subset U_{m(i)}$ , for some  $m(i) \geq i$ .

We may assume all  $B_i$ 's lie on the "same side" of  $A$  ( $A \cup Q$  does not separate any  $B_i - A$  from any  $B_j - A$  in  $U$ ) and that the  $B_i$ 's converge to a subcontinuum  $L$  of  $\text{Bd}(U)$ . (See Figure 4 for  $C$ -images.)

But then we may choose a half-open arc  $T \subset U$  in a small neighborhood of  $A \cup B_1 \cup B_2 \cup \dots$  such that  $T \cap A = \emptyset$ ,  $T$  also defines the prime end  $E$ , and  $\text{Cl}(T) - T = L$ . Since  $f$  is orientation-preserving, both  $T$  and  $f(T)$  lie on the same side of  $A$ . Thus, by [15, 2.2, p. 2] or [14, 3.38, p. 321], either  $L \subset f(L)$  or  $f(L) \subset L$ . But we are assuming that  $f$  is recurrent on  $\text{Bd}(U)$  so we must have  $f(L) = L$  [16, 4.12, p. 247].

Note also that  $L \subset \text{Cl}(H)$  and  $L \cap Y \neq \emptyset$ .

This easily yields a contradiction, for if  $L \cap (Y - \{b\}) \neq \emptyset$ , then, since  $f(Y - \{b\}) \subset S^2 - \text{Cl}(H)$ , we cannot have  $f(L) = L$ ; and, if  $b \in L$ , then  $f(L) = L$  is a continuum containing  $f(b)$ , but every nondegenerate subcontinuum of  $\text{Bd}(U)$  containing  $f(b)$  must intersect  $S^2 - \text{Cl}(H)$ , since  $f(V) \cap \text{Bd}(U) = \emptyset$ .

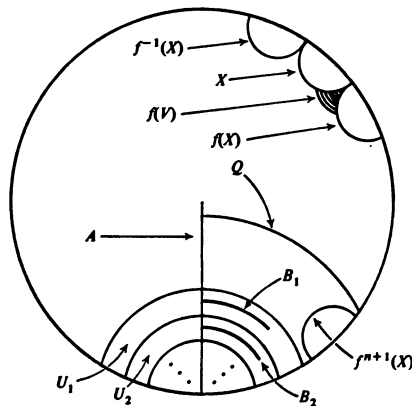


FIGURE 4

This final contradiction establishes Lemma 1.



## 5. Fixed points of orientation-reversing p.a.p. homeomorphisms.

LEMMA 2. Let  $f: X \rightarrow X$  be a p.a.p. homeomorphism of the complete metric space  $X$ ,  $K$  a compact invariant subset of  $X$ , and  $U$  an open subset of  $X$  such that  $U - K \neq \emptyset$ . Then there is an open subset  $V$  of  $U$  such that the orbit of  $V$  misses some neighborhood of  $K$ .

PROOF. For each positive integer  $n$ , let  $R(n) = \{x \in U - K: \text{for some integer } m, \text{dist}(f^m(x), K) < 1/n\}$ .  $U - K$  is complete and each  $R(n)$  is open in  $U - K$ . Therefore, some  $R(n)$  is not dense in  $U - K$ ; otherwise,  $\bigcap_{n=1}^{\infty} R(n)$  is not empty by the Baire category theorem, but  $f$  cannot be p.a.p. at points of  $\bigcap_{n=1}^{\infty} R(n)$ . Hence, for some positive integer  $m$ , there is an open set  $V$  in  $U - K$  which misses  $R(m)$ .  $V$  is the required open set, and the proof is complete.

LEMMA 3. Suppose  $f: S^2 \rightarrow S^2$  is an orientation-reversing p.a.p. homeomorphism,  $p$  is a fixed point of  $f$ , and  $Y$  is the component of the set of period two points such that  $p \in Y$ . Then  $Y$  is nondegenerate.

PROOF. Assume  $Y = \{p\}$ . We shall establish a contradiction.

Denote by  $P(2, f)$  the set of period two points of  $f$ .

Claim 1. There is an orientation-reversing, p.a.p. self-homeomorphism of  $S^2$  whose set of period two points is totally disconnected and contains a fixed point.

*Proof of Claim 1.* Let  $G$  be the decomposition of  $S^2$  whose elements are the points of  $S^2 - P(2, f)$  and the components of  $P(2, f)$ .  $G$  is upper semicontinuous [7, p. 137], hence the decomposition space  $S^2/G$  is a cactoid [16, (2.2)', p. 172]. It is easily seen that the induced map  $g = \pi f \pi^{-1}: S^2/G \rightarrow S^2/G$  (where  $\pi: S^2 \rightarrow S^2/G$  is the decomposition map) is a p.a.p. homeomorphism, and  $P(2, g) = \pi(P(2, f))$ . Thus,  $P(2, g)$  is totally disconnected. Now,  $\pi(p)$  is not a cut point of  $S^2/G$ , since  $\pi^{-1}(\pi(p)) = p$ , so  $\pi(p)$  is either an endpoint of  $S^2/G$  or is contained in a true cyclic element of  $S^2/G$ , [16, p. 66]. If  $M$  is a true cyclic element (2-sphere) containing  $\pi(p)$ , then  $g(\pi(p)) = \pi(p)$ , so  $g(M) = M$ , and  $g|M$  is the required homeomorphism (it is clear that  $g$  must be orientation-reversing).

If  $\pi(p)$  is an endpoint, then there is a cutpoint  $\pi(q)$  fixed by  $g$  [16, 4.22, p. 247]. If  $C(\pi(q), \pi(p))$  is the cyclic chain [16, p. 71] of  $S^2/G$  from  $\pi(q)$  to  $\pi(p)$ , then every cyclic element of  $C(\pi(q), \pi(p))$  is invariant under  $g$  [16, 4.3, p. 248]. Let  $M$  be any true cyclic element of  $C(\pi(q), \pi(p))$ . Then  $M$  is a 2-sphere and  $g(M) = M$ .  $M$  contains a point which separates  $\pi(q)$  and  $\pi(p)$  in  $S^2/G$  [16, 5.2, p. 71], and this point must be a fixed point of  $g$  [16, 4.21, p. 247]. Thus,  $g|M$  is the required orientation-reversing homeomorphism.

Claim 1 has been established.

By Claim 1 we may assume without loss of generality that  $P(2, f)$  is totally disconnected.

Let  $x, y$  be points of  $S^2 - \{p\}$  such that  $f(x) = y$ , and  $f(y) = x$  ( $x = y$  is allowed). The existence of  $x, y$  follows from the fact that if  $f^2|_{S^2 - \{p\}}$  were fixed point free, then  $S^2 - \{p\}$  would contain a point converging to  $p$  under positive iterates of  $f^2$  [2, Theorem 8, p. 45] (or see Theorem 7 of the present paper). Let  $K$  be a continuum in  $S^2$  which is invariant under  $f$ , which contains  $\{p, x, y\}$  and which is minimal with respect to containing  $\{p, x, y\}$  and being closed, connected, and invariant. By Lemma 2, there is a set  $U_1 \subset K$ , open in  $K$ , such that the orbit of  $U_1$  misses a neighborhood of  $P(2, f) \cap K$ . Let  $A_1$  be the component of  $K - \text{orbit}(U_1)$  containing  $p$ . Note that  $A_1$  is invariant and nondegenerate. Since  $K$  is minimal,  $A_1$  cannot contain  $x$  or  $y$ . Let  $D_1$  be the component of  $S^2 - A_1$  containing  $x$ .

*Claim 2.*  $f(D_1) \cap D_1 = \emptyset$ .

*Proof of Claim 2.* Suppose  $f(D_1) \cap D_1 \neq \emptyset$ . Then  $D_1$  is a simply-connected, invariant domain with a nondegenerate boundary. We note that  $D_1$  has a prime end which is fixed under  $f$ . For, let  $T$  be a  $C$ -transformation of  $D_1$  onto the open unit disk, and extend  $TfT^{-1}$  to an orientation-reversing homeomorphism  $h$  of the closed unit disk onto itself. Then  $h$  must have two fixed points on the unit circle, and these two fixed points correspond to fixed prime ends of  $f$ .

But then the orientation-preserving homeomorphism  $f^2$  must also have a fixed prime end in  $D_1$ . Hence, every prime end of  $D_1$  is either fixed under  $f^2$  or converges to a fixed prime end under positive iterates of  $f^2$  [3, Lemma 14].

Since  $P(2, f)$  is totally disconnected and closed, there is an endcut  $B$  in  $D_1$  such that  $\text{Cl}(B) \cap \text{Bd}(D_1)$  is not in  $P(2, f)$ . Thus, if  $F$  is the prime end defined by  $B$ , the principal point of  $F$  is not fixed under  $f^2$ , and so  $F$  is not a fixed prime end of  $f^2$  [12, Lemma 1]. Thus,  $F$  converges under positive iterates of  $f^2$  to a fixed prime end  $E$ . Since every principal point of  $E$  is fixed under  $f^2$  [12, Lemma 1] and  $P(2, f)$  is totally disconnected,  $E$  has only one principal point, [14, Corollary, p. 275]. Thus, there is an endcut  $A$  of  $D_1$  which defines  $E$ . But then, by Lemma 1,  $f^2$  is not recurrent on  $\text{Bd}(D_1)$ . This contradicts the fact that, since  $f$  is p.a.p. on  $\text{Bd}(D_1)$ ,  $f^2$  is p.a.p. on  $\text{Bd}(D_1)$  [8, p. 31].

Claim 2 is established.

Since  $x \in D_1$  and  $A_1$  is invariant,  $f^2(D_1) = D_1$ , hence  $\text{Bd}(D_1) \cup f(\text{Bd}(D_1))$  is an invariant subset of  $f$ .

*Claim 3.* There is a nondegenerate, invariant subcontinuum  $L$  of  $A_1$  such that if  $O$  is the component of  $S^2 - L$  containing  $x$ , then  $p \in \text{Bd}(O)$ .

*Proof of Claim 3.* If  $p \in \text{Bd}(D_1)$ , then  $\text{Bd}(D_1) \cup f(\text{Bd}(D_1))$  is the required subcontinuum. Suppose  $p \notin \text{Bd}(D_1)$ . Let  $B_1$  be an invariant subcontinuum of

$A_1$  containing  $\{p\} \cup \text{Bd}(D_1) \cup f(\text{Bd}(D_1))$  and minimal with respect to these properties. Let  $V_2$  be a ball of radius less than  $\frac{1}{2}$ , centered at  $p$ . By Lemma 2, there is a set  $U_2 \subset B_1 \cap V_2$ , open in  $B_1$ , such that the orbit of  $U_2$  misses a neighborhood of  $[B_1 \cap P(2, f)] \cup \text{Bd}(D_1) \cup f(\text{Bd}(D_1))$ . Let  $A_2$  be the component of  $B_1 - \text{orbit}(U_2)$  containing  $p$ . Then  $A_2$  is invariant, nondegenerate; and, by the minimality of  $B_1$ ,  $A_2$  does not intersect  $\text{Bd}(D_1) \cup f(\text{Bd}(D_1))$ . If  $D_2$  is the component of  $S^2 - A_2$  containing  $\text{Bd}(D_1)$  (and thus containing  $\text{Cl}(D_1)$ ), then by the same proof as in Claim 2,  $f(D_2) \cap D_2 = \emptyset$ . Also,  $f^2(D_2) = D_2$ , since  $x \in D_2$ . And clearly, we must have  $\text{orbit}(U_2) \cap D_2 \neq \emptyset$ . But then, for some integer  $n$ ,  $f^n(D_2) \cap U_2 \neq \emptyset$ . Thus, either  $D_2 \cap U_2 \neq \emptyset$  or  $f(D_2) \cap U_2 \neq \emptyset$ . Hence,  $D_2 \cup f(D_2)$  intersects a neighborhood of  $p$  of radius less than  $\frac{1}{2}$ .

If  $p \in \text{Bd}(D_2)$ , then  $\text{Bd}(D_2) \cup f(\text{Bd}(D_2))$  is the required subcontinuum. If  $p \notin \text{Bd}(D_2)$ , we continue the above process. Either we terminate at a finite stage or else we obtain a sequence  $D_1, D_2, \dots$  of simply-connected domains such that:

- (1)  $D_1 \subset \text{Cl}(D_1) \subset D_2 \subset \text{Cl}(D_2) \subset D_3 \subset \dots$ ;
- (2)  $\text{Bd}(D_i) \subset A_1$ ,  $f(D_i) \cap D_i = \emptyset$ ,  $f^2(D_i) = D_i$ , for each  $i$ ;
- (3)  $f(D_i) \cup D_i$  intersects a neighborhood of  $p$  of radius less than  $1/i$ ,

for each  $i$ .

If we let  $O = \bigcup_{i=1}^{\infty} D_i$ , and  $L = \text{Bd}(O) \cup f(\text{Bd}(O))$ , then  $L$  is the required subcontinuum, with  $p \in \text{Bd}(O) \cap f(\text{Bd}(O))$ .

Claim 3 is established.

As in Claim 2, we must have  $f(O) \cap O = \emptyset$ . And since  $x \in O$ ,  $f^2(O) = O$ . Now let  $L_1$  be the outer boundary of  $O$  with respect to  $f(O)$ . Since  $p \in \text{Bd}(O) \cap f(\text{Bd}(O))$ , we must have  $p \in L_1$ . By Lemma 2, there is a set  $V \subset L_1 \cup f(L_1)$ , open in  $L_1 \cup f(L_1)$ , such that  $\text{orbit}(V)$  misses a neighborhood of  $P(2, f) \cap [L_1 \cup f(L_1)]$ . Let  $X$  be the component of  $[L_1 \cup f(L_1)] - \text{orbit}(V)$  containing  $p$ . Then  $X$  is nondegenerate and invariant. Let  $W$  be the component of  $S^2 - X$  containing  $x$ . As before,  $f(W) \cap W = \emptyset$ . Since  $L_1 - \text{orbit}(V)$  does not separate  $x$  and  $f(x)$  [13, p. 176],  $\text{Bd}(W)$  must contain points of  $f(L_1) - L_1$ . Let  $q$  be a point of  $\text{Bd}(W) \cap f(L_1)$ ,  $q \neq p$ . Since  $f(L_1) \subset \text{Bd}(f(O))$ , we note that  $f(O) \cup \{q\} \cup W$  is a connected set containing  $x$  and  $f(x)$ . Now let  $X_1$  be an invariant subcontinuum of  $X$  containing  $p$  and  $\text{Cl}(\text{orbit}(q))$  and minimal with respect to these properties. By Lemma 2, there is a set  $Z \subset X_1$ , open in  $X_1$ , such that  $\text{orbit}(Z)$  misses a neighborhood of  $[P(2, f) \cap X_1] \cup \text{Cl}(\text{orbit}(q))$ . Let  $X_2$  be the component of  $X_1 - \text{orbit}(Z)$  containing  $p$ . Then  $X_2$  is nondegenerate, invariant, and  $X_2$  misses the set  $f(O) \cup \{q\} \cup W$ . But then the component of  $S^2 - X_2$  containing  $f(O) \cup \{q\} \cup W$  is invariant, and we arrive at exactly the same contradiction as in the proof of Claim 2.

This final contradiction establishes Lemma 3.

LEMMA 4. Suppose  $f: S^2 \rightarrow S^2$  is a homeomorphism and  $U$  is a simply-connected domain such that  $f(U) \cap U = \emptyset$  and  $f = \text{Id}$  on  $\text{Bd}(U)$ . Then  $\text{Bd}(U)$  is a simple closed curve.

PROOF. Fix a point  $x \in \text{Bd}(U)$ .

Claim. There is an endcut  $A$  of  $U$  such that  $\text{Cl}(A) \cap \text{Bd}(U) = \{x\}$ .

Proof of Claim. Let  $R_1, R_2, \dots$  be a chain of crosscuts defining a prime end whose impression contains  $x$ , and let  $U_1 \supset U_2 \supset \dots$  be the corresponding subdomains of  $U$  (so  $x \in \bigcap_{i=1}^{\infty} \text{Cl}(U_i)$ ). For each  $i$ , let  $J_i$  be the simple closed curve  $\text{Cl}(R_i) \cup f(\text{Cl}(R_i))$ , and let  $D_i$  be the component of  $S^2 - J_i$  containing  $U_i$ . Then  $\text{Cl}(D_1) \supset \text{Cl}(D_2) \supset \dots$ . Since the diameters of the  $R_i$ 's converge to zero, the diameters of the  $J_i$ 's converge to zero, and so  $\bigcap_{i=1}^{\infty} \text{Cl}(U_i) \subset \bigcap_{i=1}^{\infty} \text{Cl}(D_i)$  is the single point  $x$ . Thus, if  $A$  is a half-open arc in  $U$  such that each  $R_i$  separates the endpoint of  $A$  from some terminal portion of  $A$ , we see that  $\text{Cl}(A) - A \subset \bigcap_{i=1}^{\infty} \text{Cl}(U_i) = \{x\}$ . Thus,  $A$  is the required endcut and the claim is proved.

By [16, p. 58],  $\text{Bd}(U)$  is a simple closed curve provided any two points of  $\text{Bd}(U)$  separate  $\text{Bd}(U)$ . Let  $x, y$  be any two points of  $\text{Bd}(U)$ . By the claim there is a crosscut  $A$  of  $U$  such that  $\text{Cl}(A) \cap \text{Bd}(U) = \{x, y\}$ . Then  $J = \text{Cl}(A) \cup f(\text{Cl}(A))$  is a simple closed curve, and  $\text{Bd}(U)$  must intersect both components of  $S^2 - J$  (otherwise  $A$  and  $f(A)$  would lie in the same component of  $S^2 - \text{Bd}(U)$ ). But then  $J$  separates  $\text{Bd}(U) - \{x, y\}$  in  $S^2$ , hence  $\{x, y\}$  separates  $\text{Bd}(U)$ . The proof of Lemma 4 is complete.

LEMMA 5. Suppose  $f: S^2 \rightarrow S^2$  is an orientation-reversing, p.a.p. homeomorphism,  $K$  is a component of the set of period two points of  $f$  such that  $K$  contains a fixed point, and  $U$  is a component of  $S^2 - K$ . Then  $f(U) \cap U = \emptyset$ , and  $f^2(U) = U$ .

PROOF. First we show that  $f(U) \cap U = \emptyset$ . Suppose not. Then, since  $K$  is invariant,  $f(U) = U$ . Let  $G$  be the (upper semicontinuous) decomposition of  $S^2$  whose only nondegenerate element is  $S^2 - U$ . Then the decomposition space  $S^2/G$  is homeomorphic to  $S^2$  [16, (2.1)', p. 171], and the induced map  $g = \pi f \pi^{-1}: S^2/G \rightarrow S^2/G$  (where  $\pi: S^2 \rightarrow S^2/G$  is the decomposition map) is easily seen to be a p.a.p. orientation-reversing homeomorphism. If we denote the set of period two points of  $f$  by  $P(2, f)$ , then  $\pi(P(2, f)) = P(2, g)$ , and  $\pi(S^2 - U)$  is a degenerate component of  $P(2, g)$ . This contradicts Lemma 3. Hence  $f(U) \cap U = \emptyset$ .

Now suppose  $f^2(U) \neq U$ . Then  $f^2(U) \cap U = \emptyset$ . And  $f^2 = \text{Id}$  on  $\text{Bd}(U)$ , since  $K \subset P(2, f)$ . Hence, by Lemma 4,  $\text{Bd}(U)$  is a simple closed

curve. But then  $U$  must be one component of  $S^2 - \text{Bd}(U)$  and  $f^2(U)$  must be the other component. This is impossible because  $f^2$  is orientation-preserving. Hence  $f^2(U) = U$ , and the proof of Lemma 5 is complete.

**THEOREM 6.** *Suppose  $f: S^2 \rightarrow S^2$  is a p.a.p. orientation-reversing homeomorphism which has a fixed point. Then the set of fixed points of  $f$  is a simple closed curve.*

**PROOF.** Let  $K$  be a component of the set of period two points of  $f$  such that  $K$  contains a fixed point of  $f$ . Let  $V_1, V_2, \dots$  be a list of the components of  $S^2 - K$ . By Lemma 5,  $f(V_i) \cap V_i = \emptyset$  and  $f^2(V_i) = V_i$ , for each  $i$ . Let  $A_1 = V_1$  and  $B_1 = f(V_1)$ , and suppose we have defined sets  $A_n, B_n$  which are unions of components of  $S^2 - K$  such that:

- (1)  $A_n \cap B_n = \emptyset$ ;
- (2)  $V_1 \cup V_2 \cup \dots \cup V_n \subset A_n \cup B_n$ ;
- (3) for each  $i$ ,  $V_i$  intersects  $A_n$  if and only if  $f(V_i)$  intersects  $B_n$ .

Form  $A_{n+1}, B_{n+1}$  as follows: if  $V_{n+1}$  intersects  $A_n \cup B_n$ , let  $A_{n+1} = A_n$  and  $B_{n+1} = B_n$ ; if  $V_{n+1}$  does not intersect  $A_n \cup B_n$ , let  $A_{n+1} = A_n \cup V_{n+1}$ , and  $B_{n+1} = B_n \cup f(V_{n+1})$ .

Let  $A = \bigcup_{n=1}^{\infty} A_n$  and  $B = \bigcup_{n=1}^{\infty} B_n$ . Then  $A \cap B = \emptyset$ ,  $f(A) = B$ ,  $f(B) = A$ , and  $S^2 = A \cup B \cup K$ .

Define a map  $g: S^2 \rightarrow S^2$  by

$$g(x) = \begin{cases} f(x) (= f^{-1}(x)), & \text{if } x \in K, \\ f(x), & \text{if } x \in A, \\ f^{-1}(x), & \text{if } x \in B. \end{cases}$$

It is easily checked that  $g$  is a periodic, orientation-reversing homeomorphism, and that the set of fixed points of  $g$  is identical with the set of fixed points of  $f$ . The set of fixed points of  $g$  is a simple closed curve by [5]. The proof of Theorem 6 is complete.

**6. Orientation-preserving homeomorphisms.** In the orientation-preserving case, the similarity between the fixed point sets of p.a.p. homeomorphisms and the fixed point sets of periodic (or weakly almost periodic, see [12]) homeomorphisms no longer holds.

**EXAMPLE.** Let  $D_1, D_2, \dots$  be a (finite or infinite) collection of closed disks in  $S^2$  such that the union of the  $D_i$ 's is compact and locally connected, and if  $i \neq j$ , then  $D_i \cap D_j$  is the south pole  $p_0 \in S^2$ . For each  $i$ , let  $g_i$  be a homeomorphism of  $D_i$  onto the disk  $\{(r, \theta) \in R^2: r \leq 1\}$  ( $(r, \theta)$  polar coordinates). Define  $g: S^2 \rightarrow S^2$  by setting  $g = \text{Id}$  outside the union of the  $D_i$ 's and setting  $g|_{D_i} = g_i^{-1} f g_i$  where  $f(r, \theta) = (r, \theta + 1 - r)$ . Then  $g$  is

orientation-preserving and p.a.p., and the number of isolated fixed points of  $g$  is equal to the number of disks  $D_i$ .

We do, however, have the following partial result.

**THEOREM 7.** *Suppose  $f: S^2 \rightarrow S^2$  is a recurrent, orientation-preserving homeomorphism with a finite number of fixed points. Then  $f$  has exactly two fixed points.*

**PROOF.** The proof consists mostly of combining known results. Let  $p$  be a fixed point of  $f$ , and let  $U$  be a neighborhood of  $p$  which contains no other fixed points.

*Claim.* The fixed point index  $i(f, U)$  of  $f$  on  $U$  is equal to  $+1$  (see [12, §4] for a short discussion of the local fixed point index  $i(f, U)$  or [4] for a more comprehensive treatment).

*Proof of Claim.* We may assume  $U \neq S^2$  so that  $U$  may be identified with a subset of the plane  $R^2$ . Using the construction in the proof of [6, Lemma 1], we obtain an orientation-preserving homeomorphism  $h: R^2 \rightarrow R^2$  such that  $p$  is the only fixed point of  $h$ , and  $h = f$  on some neighborhood  $V \subset U$ , with  $p \in V$ . (In the proof of Lemma 1 of [6], choose the set  $D_1$  of that proof to be any neighborhood of  $p$  such that  $\text{Cl}(D_1)$  is a disk contained in  $U$ . The construction then easily yields the required homeomorphism  $h$ .) Since no point of  $V$  converges to  $p$  under positive or negative iterates of  $h$ , there is a point  $x \in V - \{p\}$  whose orbit under  $h$  is contained in  $V$  [8, 10.28, p. 85]. If  $x$  is not a period two point, the construction given in [10, p. 89] or [2, p. 45], yields an arc  $A$  in  $R^2$  (a so-called *translation arc*) such that  $x \in A$ , one of the endpoints of  $A$  is the image under  $h$  of the other endpoint, and  $A \cap h(A)$  is this common endpoint. Since  $h$  is recurrent at  $x$  it is easy to see that  $A$  can be chosen so that  $h^n(x) \in A$  for some  $n > 1$ . If  $x$  has period two then the construction of [10, p. 89] yields either a translation arc as in the previous sentence or an arc  $A$  joining  $x$  and  $h(x)$  such that  $A \cap h(A) = \{x, h(x)\}$ . If  $A \cap h(A) = \{x, h(x)\}$ , let  $J$  be the simple closed curve  $A \cup h(A)$ . In the case  $A \cap h(A)$  is a single point, let  $J$  be a simple closed curve constructed from  $A \cup h(A) \cup \cdots \cup h^n(A)$ , where  $n$  is the least integer greater than one such that  $A \cap h^n(A) \neq \emptyset$ . Then if  $D$  is the bounded component of  $R^2 - J$ ,  $D$  must contain a fixed point of  $h$  [10, Lemma 1.1, p. 89 and Proof of Theorem 1, p. 90]. Thus,  $p \in D$ . And in fact, the fixed point index  $i(h, D)$  is equal to 1, for, Lemma 1.1 of [10, p. 89], shows that as a point  $t$  makes one positive circuit of  $J$ , the vector from  $t$  to  $h(t)$  turns through an angle of  $2\pi$ ; thus, the map from  $J$  to the unit circle which takes  $t$  to  $(h(t) - t)/|h(t) - t|$  has degree 1; thus, the fundamental class of  $H_2(D, D - \{p\})$  is mapped to the fundamental

class of  $H_2(R^2, R^2 - \{0\})$  by the homomorphism induced by  $\text{Id} - h$ , and thus  $i(h, D) = 1$  [4]. But  $D - V$ ,  $V - D$  contain no fixed point of  $h$ , and  $U - V$  contains no fixed point of  $f$ , hence:

$$1 = i(h, D) = i(h, V) = i(f, V) = i(f, U).$$

The claim is established.

Thus, if  $U_1, \dots, U_m$  is a collection of pairwise disjoint open subsets of  $S^2$  whose union contains all fixed points of  $f$  and such that each  $U_i$ ,  $1 \leq i \leq m$ , contains exactly one fixed point of  $f$ , then:

$$\sum_{j=1}^m i(f, U_j) = L(f),$$

where  $L(f)$  is the Lefschetz number of  $f$  [12, §4]. But  $L(f) = 2$ , since  $f$  is an orientation-preserving homeomorphism. By our claim,  $i(f, U_j) = 1$ ,  $1 \leq j \leq m$ , hence  $m = 2$ , and the proof of Theorem 7 is complete.

We conclude with two questions.

*Question 1.* Is there an example of a homeomorphism  $f: S^2 \rightarrow S^2$  such that  $f$  is recurrent but not p.a.p.?

*Question 2.* Suppose  $f: S^2 \rightarrow S^2$  is an orientation-preserving p.a.p. homeomorphism such that no component of the set of fixed points separates  $S^2$ . Must the set of fixed points have exactly two components?

#### BIBLIOGRAPHY

1. R. H. Bing, *Concerning hereditarily indecomposable continua*, Pacific J. Math. 1 (1951), 43–51. MR 13, 265.
2. L. E. J. Brouwer, *Beweis des ebenen Translationsatzes*, Math. Ann. 72 (1912), 37–54.
3. M. Cartwright and J. Littlewood, *Some fixed point theorems*, Ann. of Math. (2) 54 (1951), 1–37. MR 13, 148.
4. A. Dold, *Fixed point index and fixed point theorem for Euclidean neighborhood retracts*, Topology 4 (1965), 1–8. MR 33 #1850.
5. S. Eilenberg, *Sur les transformations périodiques de la surface de sphère*, Fund. Math. 22 (1934), 28–41.
6. O. H. Hamilton, *A short proof of the Cartwright-Littlewood fixed point theorem*, Canad. J. Math. 6 (1954), 522–524. MR 16, 276.
7. J. G. Hocking and G. S. Young, *Topology*, Addison-Wesley, Reading, Mass., 1961. MR 23 #A2857.
8. W. H. Gottschalk and G. A. Hedlund, *Topological dynamics*, Amer. Math. Soc. Colloq. Publ., vol. 36, Amer. Math. Soc., Providence, R. I., 1955. MR 17, 650.
9. B. de Kerékjártó, *Über die periodischen Transformationen der Kreisscheibe und der Kugelfläche*, Math. Ann. 80 (1919–1920), 36–38.
10. ———, *The plane translation theorem of Brouwer and the last geometric theorem of Poincaré*, Acta Sci. Math. (Szeged) 4 (1928), 86–102.
11. ———, *Topologische Charakterisierung der linearen Abbildungen*, Acta Sci. Math. (Szeged) 6 (1934), 235–262.
12. W. K. Mason, *Weakly almost periodic homeomorphisms of the two-sphere*, Pacific J. Math. 48 (1973), 185–196.

13. R. L. Moore, *Foundations of point set topology*, Amer. Math. Soc. Colloq. Publ., vol. 13, Amer. Math. Soc., Providence, R. I., 1962.
14. M. Ohtsuka, *Dirichlet problem, extremal length and prime ends*, Van Nostrand, New York, 1970.
15. H. D. Ursell and L. C. Young, *Remarks on the theory of prime ends*, Mem. Amer. Math. Soc. No. 3 (1951). MR 13, 55.
16. G. T. Whyburn, *Analytic topology*, Amer. Math. Soc. Colloq. Publ., vol. 28, Amer. Math. Soc., Providence, R. I., 1963. MR 32 #425.

DEPARTMENT OF MATHEMATICS, RUTGERS UNIVERSITY, NEW BRUNSWICK,  
NEW JERSEY 08903

*Current address:* Box 316, Hornitos, California 95325